



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/618,089	07/11/2003	Peter A. Panec	GRCN-002/00US	3811

22434 7590 03/07/2007
BEYER WEAVER LLP
P.O. BOX 70250
OAKLAND, CA 94612-0250

EXAMINER

OSMAN, RAMY M

ART UNIT	PAPER NUMBER
----------	--------------

2157

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/07/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

10/618,089

Applicant(s)

PANEC ET AL.

Examiner

Ramy M. Osman

Art Unit

2157

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 July 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 July 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner:-
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Status of Claims

1. This action is responsive to application filed on July 11, 2003. Claims 1-29 are pending examination.

Response to Amendment

2. Preliminary Amendment filed on December 16, 2003 is acknowledged.

Drawings

3. The drawings filed on 7/11/2003 are acknowledged and are objected to. The drawings contain some unreadable text.

Claim Rejections - 35 USC § 101

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

5. Claims 1,2 rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. A statutory computer process is determined not by how the computer performs the process, but by what actions the computer performs to achieve a practical application with a useful, concrete and tangible result. The claims are presented simply as a program per se which consists of software instructions without a physical tangible output result that is conveyed to a user in a real-world application of the tangible results. The claims are non-

Art Unit: 2157

statutory because applicant is claiming an intangible software program per se and because they do not claim a practical application with a tangible result. (*see MPEP Section 2106(IV)(C)*).

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. **Claims 1-29 rejected under 35 U.S.C. 102(b) as being anticipated by Sugawara et al (US Patent No 2002/0019848).**

6. In reference to claim 1, Sugawara teaches a computer-readable medium, comprising:
instructions to detect an error condition during messaging between a message sender and a message recipient (§2);

instructions to coordinate said error condition with a stage of said messaging (§111, 127-130);

instructions to generate an alert message indicating said error condition and said stage of said messaging (§111); and

instructions to transmit said alert message to at least one of said message sender and said message recipient (§127-130).

7. In reference to claim 4, Sugawara teaches the computer-readable medium of claim 1, wherein said messaging occurs via a public network (§ 2).

Art Unit: 2157

8. In reference to claim 5, Sugawara teaches the computer-readable medium of claim 1, wherein said instructions to detect said error condition include: instructions to detect said error condition in connection with processing of a message generated by said message sender (§ 127-130).

9. In reference to claim 6, Sugawara teaches the computer-readable medium of claim 5, wherein said instructions to coordinate said error condition with said stage of said messaging include: instructions to coordinate said error condition with one of posting of said message by said message sender, routing of said message to said message recipient, delivery of said message to said message recipient, and response to said message by said message recipient (§ 127-130 and § 215-219).

10. In reference to claim 7, Sugawara teaches the computer-readable medium of claim 5, wherein said instructions to transmit said alert message include: instructions to transmit said alert message to said message recipient even when said message generated by said message sender is not delivered to said message recipient (§ 127-130).

11. In reference to claim 8, Sugawara teaches the computer-readable medium of claim 1, wherein said instructions to transmit said alert message include: instructions to transmit said alert message to said at least one of said message sender and said message recipient via a public network (§2).

12. In reference to claim 2, Sugawara teaches a computer-readable medium, comprising:
instructions to define a plurality of event categories, each event category of said plurality of event categories being associated with a different stage of messaging between a message

Art Unit: 2157

sender and a message recipient, said plurality of event categories including a first event category associated with a first stage of said messaging (§ 200-203,208);

instructions to detect a first event during said first stage of said messaging (§208);

instructions to coordinate said first event with said first event category; and instructions to generate a first alert message indicating said first event and said first event category (§208).

13. In reference to claim 9, Sugawara teaches the computer-readable medium of claim 2, wherein said messaging occurs via a public network (§ 2).

14. In reference to claim 10, Sugawara teaches the computer-readable medium of claim 2, further comprising: instructions to transmit said first alert message to at least one of said message sender and said message recipient (§ 200-203,208).

15. In reference to claim 11, Sugawara teaches the computer-readable medium of claim 10, wherein said instructions to transmit said first alert message include: instructions to transmit said first alert message to said at least one of said message sender and said message recipient via a public network (§ 2).

16. In reference to claim 12, Sugawara teaches the computer-readable medium of claim 2, further comprising: instructions to transmit said first alert message to a monitoring application program (§ 200-203,208).

17. In reference to claim 13, Sugawara teaches the computer-readable medium of claim 12, wherein said instructions to transmit said first alert message include: instructions to transmit said first alert message to said monitoring application program via a public network (§ 2).

18. In reference to claim 14, Sugawara teaches the computer-readable medium of claim 2, further comprising: instructions to detect a second event during said first stage of said

Art Unit: 2157

messaging; and instructions to coordinate said second event with said first event category (§ 200-203,208).

19. In reference to claim 15, Sugawara teaches the computer-readable medium of claim 14, wherein said first event and said second event correspond to different error conditions during said first stage of said messaging (§ 200-203,208).

20. In reference to claim 16, Sugawara teaches the computer-readable medium of claim 14, wherein said instructions to generate said first alert message include: instructions to generate said first alert message indicating said first event, said second event, and said first event category (§ 200-203,208).

21. In reference to claim 17, Sugawara teaches the computer-readable medium of claim 14, further comprising: instructions to generate a second alert message indicating said second event and said first event category (§ 200-203,208).

22. In reference to claim 18, Sugawara teaches the computer-readable medium of claim 2, wherein said plurality of event categories includes a second event category associated with a second stage of said messaging, the computer-readable medium further comprising: instructions to detect a second event during said second stage of said messaging; and instructions to coordinate said second event with said second event category (§ 200-203,208).

23. In reference to claim 19, Sugawara teaches the computer-readable medium of claim 18, wherein said first event corresponds to a first error condition during said first stage of said messaging, and said second event corresponds to a second error condition during said second stage of said messaging (§ 200-203,208).

Art Unit: 2157

24. In reference to claim 20, Sugawara teaches the computer-readable medium of claim 18, wherein said instructions to generate said first alert message include: instructions to generate said first alert message indicating said first event, said second event, said first event category, and said second event category (§ 200-203,208).

25. In reference to claim 21, Sugawara teaches the computer-readable medium of claim 18, further comprising: instructions to generate a second alert message indicating said second event and said second event category (§ 200-203,208).

26. In reference to claims 3-26 and 27-29, these are method claims that correspond to the computer readable medium claims of 1,4-8. Therefore claims 3-26 and claims 27-29 are rejected based upon the same rationale as the above rejections.

Conclusion

27. Applicant is advised that the above specified citations of the relied upon prior art are only representative of the teachings of the prior art, and that any other supportive sections within the entirety of the reference (including any figures, incorporation by references, claims and priority documents) is implied as being applied to teach the scope of the claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ramy M. Osman whose telephone number is (571) 272-4008. The examiner can normally be reached on M-F 9-5.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (571) 272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2157

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

RMO

March 2, 2007


ARMAND ETIENNE
SUPERVISORY PATENT EXAMINER
ELECTRONIC BUSINESS CENTER 2100